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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/686,427	10/14/2003	Stefan J.M. Kraemer	2234-002-03	7281
32746 7590 11/24/2010 Law Office of Jens E. Hoekendijk P.O. BOX 4787 BURLINGAME, CA 94011-4787				
EXAMINER				
SEVERSON, RYAN J				
ART UNIT		PAPER NUMBER		
3731				
NOTIFICATION DATE		DELIVERY MODE		
11/24/2010		ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

jens@jhpatentlaw.com
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Office Action Summary

Application No.

10/686,427

Applicant(s)

KRAEMER ET AL.

Examiner

RYAN J. SEVERSON

Art Unit

3731

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 September 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-26 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/22)
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date: _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____
- Paper No(s)/Mail Date: _____

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. **Claims 1-4, 7-17 and 20-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (6,254,642) in view of Hasson (5,658,272).** Taylor discloses a device having a means for evacuating (52, see figures 7-10) capable of reducing the stomach and a fastener (11). The fastener is applied using a pusher (55). However, Taylor does not disclose an expandable structure that the evacuating means extends through. Attention is drawn to Hasson, who teaches the use of an expandable member (34, see figure 7) to be inflated to prevent premature withdrawal of the surgical instrument before the operation is completed (see column 4, lines 41-44). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include the expandable member of Hasson with the device of Taylor to prevent premature withdrawal of the surgical instrument.
3. **Claims 5, 6, 18 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (6,254,642) in view of Hasson (5,658,272) as applied to claims 4 and 17 above, and further in view of Adams (2001/0011179).** The combination of Taylor and Hasson fails to disclose the balloon being either compliant or non-compliant. Attention is drawn to Adams, who teaches a balloon can be either

compliant or non-compliant (see paragraph [0045]) depending on which type of balloon the surgeon prefers for a particular operation. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have made the balloon of the combination of Taylor and Hasson either compliant or non-compliant, depending on the requirements of the procedure, in the manner taught by Adams.

Response to Arguments

4. Applicant's arguments filed 9/7/2010 have been fully considered but they are not persuasive.
5. As an initial matter, Examiner maintains all positions from the previous office action mailed 3/29/2010 regarding the combination of Taylor and Hasson.
6. Examiner reminds applicant that the claim limitations requiring the device to be positioned and used in the stomach are functional limitations, and in no way define structural limitations.
7. Examiner contends the device *of the combination* of Taylor and Hasson is capable of being used in the stomach. Therefore, any arguments pertaining to the device of Taylor having use in the esophagus are in no way relevant to the rejection at hand.
8. The device of the combination (which would include an expandable structure as taught by Hasson on the device of Taylor adjacent and proximal to the shoulder 57) is capable of being placed in the stomach. When in place, the expandable structure can be expanded. When expanded, the expandable structure would prevent the device from passing back into the esophagus (i.e. the expandable structure would abut the

interior of the stomach wall at the location the esophagus opens into the stomach).

Therefore, the intended function of the expandable structure of Hasson (i.e. preventing withdrawal of the device it is disposed on) is maintained when it is used with the device of Taylor.

Conclusion

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

10. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to RYAN J. SEVERSON whose telephone number is (571)272-3142. The examiner can normally be reached on Monday - Friday 8:30-5:00.

12. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anh Tuan Nguyen can be reached on (571) 272-4963. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

13. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ryan J Severson/
Examiner, Art Unit 3731
11/18/10

/(Jackie) Tan-Uyen T. Ho/
Supervisory Patent Examiner, Art Unit 3773